

GUIDELINES REGARDING NIH OTHER SUPPORT & NSF CURRENT AND PENDING SUPPORT

Information on other support/current & pending support assists awarding agency staff in the identification and resolution of potential overlap of support. Overlap, whether scientific, budgetary, or the over-commitment of an individual's effort is not permitted. The goals in identifying and eliminating overlap are to ensure that sufficient and appropriate levels of effort are committed to the project; that there is no duplication of funding for scientific aims, specific budgetary items, or an individual's level of effort; and only funds necessary to the conduct of the approved project are included in the award.

NIH OTHER SUPPORT:

NIH reminds applicants and recipients that [Other Support](#) (normally requested at Just-in-Time) includes all resources made available to a researcher or senior key personnel in support of and/or related to their research activities, whether or not they have monetary value and regardless of whether they are based at the institution where the researcher is appointed.

- Notice [NOT-OD-19-114](#) released July 10, 2019

These requirements are not new. It is the NIH's expectation that those seeking NIH grants be fully transparent regarding all of their research activities both domestic and foreign.

NIH requires disclosure of every research-related grant, contract, cooperative agreement, salary, stipend, laboratory equipment, research material, position, appointment, postdoc, and other financial and non-financial resource to which a faculty member may have direct or indirect access. In order to comply with NIH policy, please adhere to the following key concepts when submitting "Other Support" information (e.g., in Just-in-Time materials, Research Performance Progress Reports, etc.):

1. "Other Support" encompasses all of the resources that you have access to – not just the grants and contracts that support your research.
2. "Other Support" includes resources that do NOT have a dollar value attached to them (e.g. "in-kind"), as well as resources that do have monetary value.
3. "Other Support" includes resources that are at all potentially related to your research, in addition to the resources that directly support your research.
4. "Other Support" includes resources available to you at other institutions beyond Lehigh University, and in other countries outside the U.S.

Some examples of "Other Support" that can help guide your thinking:

- Participation in any manner and at any level in a foreign government talent recruitment program (e.g., China's Thousand Talents Program) or similar type of program
- Participation in a grant or contract issued by a foreign government to a foreign entity
- Provision of high-value materials (e.g., biologics, chemicals, model systems, technology, etc.) and in-kind services and resources (e.g., office/laboratory space, equipment, employees) that are made available to you by a non-Lehigh source (including domestic and foreign universities, individuals, and companies), but are not freely available to others
- Positions, affiliations or appointments with any entity other than Lehigh, including affiliations with foreign entities or governments.
- Titled academic, professional, or institutional appointments outside of Lehigh, regardless of whether remuneration is received (full-time, part-time, or voluntary, including adjunct, visiting, or honorary).

- Financial support for laboratory personnel, including Postdoctoral Fellows

Applicants are also reminded that they must promptly notify the NIH if previously submitted just-in-time information is substantively changed prior to award or at the time of a progress report in each annual research performance progress report (RPPR).

The NIH has published a website [Frequently Asked Questions – Other Support and Foreign Components](#) revised August 6, 2019. These FAQs include important additional information about what should be included in “Other Support” documents, including start-up packages for investigators and institutional research grants. NIH also offers examples of what would be considered a “significant element of a project” when making determinations regarding foreign components.

NSF CURRENT AND PENDING SUPPORT:

NSF reminds applicants that the requirement to use an NSF-approved format for preparation of current and pending support will go into effect for ***new proposals submitted or due on or after October 5, 2020***. NSF and Lehigh’s ORSP encourages the community to use the NSF-approved formats immediately. Lehigh recommends and support the use of SciENcv: Science Experts Network Curriculum Vitae as it is the preferable NSF-approved format for use in preparation of the current and pending support section of an NSF proposal. Adoption of a single, common researcher profile system for Federal grants reduces administrative burden for researchers.

- Refer to ORSP website [ORCID and SciENcv Instructions and Resources for Faculty](#)

NSF requires disclosure of all proposed and ongoing projects from all sources (federal, state, local, foreign, public or private foundation, non-profit, industry or commercial or internal funds) whether provided through Lehigh or provided directly to the individual (for each individual designated as senior personnel in the proposal). The following should be included:

- All resources made available to an individual in support of and/or related to their research efforts, regardless of whether or not they have monetary value
- All in-kind contributions (e.g. office/laboratory space, equipment, supplies, employees, students) or items or services, whether intended/not intended for use on the project/proposal, and with the expectation of an associated time commitment
 - If the time commitment or dollar value is not readily ascertainable, reasonable estimates should be provided
 - In-kind contributions intended for use on the project with no associated time commitment are included in the Facilities, Equipment, and Other Resources section of the proposal and are not replicated in the current and pending support
 - In-kind contributions not intended for use on the project with no associated time commitment are not reported
 - Startup packages from any organization other than the Lehigh University
 - If the project or any part of the project was funded previously by a source other than NSF, information must be provided regarding the last period of funding

Post-Award disclosure is required if it is discovered that senior personnel on an active NSF grant failed to disclose current support or in-kind contribution information as part of the proposal submission process, the AOR must submit the Current Support information within 30 calendar days of the identification of

the undisclosed current support or in-kind contribution. Please work with your [ORSP CGS](#) to address this correction.

The NSF has provided many resources in support of the changes to the NSF-approved formats as well as defining Current and Pending Support. These can be found here:

- Frequently Asked Questions on Current and Pending Support PAPPG ([NSF 20-1](#))
- [NSF-Approved Formats for Current and Pending Support](#)